

# Supplementary Information Document

## Omnis Portfolio Investments ICVC

Valid as at 30<sup>th</sup> September 2019



Issued by Omnis Investments Limited, Authorised and regulated by the Financial Conduct Authority Registered Office: Washington House, Lydiard Fields, Swindon, SN5 8UB. Omnis Investments is registered in England and Wales under registration number 06582314.

## **Introduction**

### **Guide to this document**

This document should be read alongside a relevant 2-page Key Investor Information Document (“KIID”). Together they summarise information about your investment in the funds.

You should read these documents carefully to help you understand what you are buying; and keep them safe for future reference.

You should also read the relevant Prospectus (the “Prospectus”) before making an investment decision. Your rights and duties as well as your legal relationship with the Company are set out in the Prospectus. This can be found at [www.omnisinvestments.com](http://www.omnisinvestments.com) or, please write to Omnis Investments, PO Box 10191, Chelmsford, CM99 2AP.

The KIIDs and the Prospectus are available in English. If you have any doubts about the contents of these documents, you should contact a professional adviser.

As we are not registered with the relevant authorities in the United States we cannot sell shares to people who are “US persons”. For a definition of a US person, and for further information on this point, please see further details in the Prospectus.

## YOUR INVESTMENT

### **Omnis Portfolio Investments ICVC (“the Company”)**

The Company is an Open-Ended Investment Company (OEIC), offering funds into which you can invest. An OEIC is open ended, which means its funds grow, and more shares are created, as more people invest, and shrink as people take out their money. The value of a fund is directly related to the value of the assets in which it invests and the number of shares it issues.

The Company is authorised by the Financial Conduct Authority (FCA) and falls within the European Union’s ‘UCITS’ framework, and it is therefore known as a UCITS scheme.

The Company is an umbrella company, which means we can set up separate funds from time to time with the approval of the FCA. Funds are a way of combining your money with that of other investors to buy a wider range of investments. This can help to reduce risk by spreading your money over a wider range of investments and provide access to the services of a professional fund manager. Each fund is divided into shares, with the number of shares you hold representing your share of the fund.

### **Who are the Funds aimed at?**

The Funds are designed to meet a wide range of investment needs and different appetites for risk. A summary of the investment objectives and policies of the Funds can be found in the relevant Key Investor Information Document (“KIID”). Further details of the Funds’ investment objectives and policies can be found in the Prospectus.

### **What may the Funds invest in?**

Each fund has a different aim and may therefore hold a different mix of investments to achieve that aim. In addition, the funds have the (limited) ability to invest in other asset types and countries, subject to the fund’s investment objective and policy. Any variation to a fund’s investments may change over time due to, for example, investment opportunities and changes in market conditions.

The KIID sets out the investment focus of each fund. The Prospectus sets out the investment objectives and policies in full, and it is important that you take the time to read these.

## INVESTING

### **How do I invest?**

You can buy shares through an intermediary.

When we receive your application and payment from your intermediary, we will invest in the Fund(s) you have chosen. If we receive your application before 12:00 noon, we will invest in the Fund on the same business day. If we receive your application after 12:00 noon, we will invest in the Fund on the following business day.

We will send a confirmation to your intermediary following the

investment.

### **What happens if I change my mind?**

If you received advice from a financial adviser, and subsequently invested, you will receive a notice informing you of your right to change your mind and instructions on how to cancel. You will then have 14 days to cancel your investment.

If you decide to proceed with your cancellation, we will return your investment money. Please note that if the value of your underlying investment has changed from the time your money was originally invested in the funds, you may not receive your full money back.

### **How do I sell shares?**

You can sell your shares by contacting your intermediary. Your intermediary will then send you a confirmation together with a renunciation form, which you will need to complete and return. We will sell your shares at the valuation point (see below) after we receive instructions from your intermediary.

Once we have received all the required documents to settle the deal, we will forward the proceeds of the sale to your intermediary, normally within three business days. Investors are entitled to redeem their shares on any dealing day.

### **How do you calculate the value of the shares and where can I find out my shares’ value?**

The value of your shares is linked directly to the value of the investments held in the Fund. We work this out at 12 noon on each business day, which is the valuation point.

The Funds only have one price at which shares can be bought and sold. Any charges we place on buying and selling will be in addition to this figure.

The previous day’s dealing prices of shares in the Funds are available at [www.fundlistings.com](http://www.fundlistings.com). The prices of shares can also be obtained by calling us on 0345 140 0070 during our normal business hours.

### **What limits apply to investment in the Fund?**

The minimum initial investment for each individual fund is £10,000,000. The minimum subsequent investment and minimum ongoing holding is £35,000 for each fund. There is no minimum redemption level. Omnis does not offer regular savings or withdrawal facilities.

### **Accumulation and Distribution Dates**

The final Accounting Date for all funds is 30<sup>th</sup> September and the Interim Accounting date is 31<sup>st</sup> March. Income Distribution and Accumulation Dates for all funds are 30<sup>th</sup> November and 31<sup>st</sup> May; although the Omnis Strategic Bond Fund and the Omnis UK Equity Income Fund have additional Distribution and Accounting dates on 28<sup>th</sup> February and 31<sup>st</sup> August.

## CHARGES AND EXPENSES

### **What are the charges involved in investing?**

Full details of each of the charges, how they are calculated and when they are paid are set out in the Prospectus.

There is no Initial, Switching, Conversion or Exit Charge on any of the funds.

### **What expenses do the funds have?**

There are other fees and expenses payable out of the scheme property of each of the Funds. Details of these can be found in the Prospectus. The total expected Ongoing Charge Figure (which includes the Omnis Annual Management Charge) is set out in the KIID.

## **TAXATION**

Tax affects both your personal position and that of the Funds. The tax position may change in the future. If you are unsure about your tax position, you should obtain advice from a professional adviser.

### **Do the Funds pay tax?**

The Funds do not pay capital gains tax but do pay corporation tax on interest and some other income at a rate that is equal to the basic rate of income tax.

### **What is my tax position if I invest in the Funds through an ISA?**

When you invest through an ISA, there is no personal income tax or capital gains tax to be paid on income or gains in your ISA investment.

The value of any tax advantages will depend on your individual circumstances.

### **What is my tax position if I invest into the Funds directly?**

The information below is a general guide based on current UK law and HMRC practice, which are subject to change. It summarises the tax position of the funds and of investors who are resident in the UK and hold shares as investments. Prospective investors who are in any doubt as to their tax position, or who may be subject to tax in a jurisdiction other than the UK, are advised to take professional advice.

You may have to pay UK capital gains tax if you sell shares or switch between funds.

Income - Equity Funds:

Funds which are so called "Equity" Funds for the purposes of tax will pay any distributable income as dividend distributions. Dividend distributions will be paid with a 10% tax credit. United Kingdom resident individuals liable to income tax on investment income at the basic rate will have no further liability to tax. Higher rate taxpayers will have to pay an additional amount of income tax, as will additional rate taxpayers. Non-taxpayers may not reclaim the tax credits on dividend distributions paid.

Income - Bond Funds:

Funds which are so called "Bond" Funds for the purposes of tax

currently pay interest distributions. These distributions are made gross (i.e., without the deduction of basic rate income tax). A tax voucher will be supplied to Shareholders showing the total interest distribution.

If you are a higher or additional rate tax payer, you may have to pay income tax on the income you receive.

## **OTHER INFORMATION**

### **What other information can I access?**

We make available the annual report within four months of each annual accounting period. The half yearly report will be available within two months of each interim accounting period.

The long reports of the Company are available or on request.

### **Best Execution**

Our best execution policy sets out the basis upon which we will effect transactions and place orders in relation to the Company whilst complying with our regulatory obligations to obtain the best possible result for the Company. Details of the best execution policy are available on request.

### **Voting Policy**

The Funds' property may be associated with voting rights. A summary of the voting policy is available on request.

### **Manager's reports and Company's Prospectus**

Copies of the Prospectus and the latest annual and half-yearly reports may be obtained free of charge, either before or after you invest with us, at the administration address quoted in the Directory below.

### **Distance Marketing Directive**

If you have not had a face to face discussion with a representative of the company through which you are making this investment, which could, for example, be us or a company providing financial advice, then you will be entering into this transaction 'at a distance'.

In this situation you do not have a right to cancel this investment unless we decide to extend such a right to you. If you have bought shares at a distance you can continue sell those shares, in the same way you were able to at any time. However, you cannot recover any initial charges incurred in the purchase of the shares.

The Prospectus, the KIID and this document collectively contain the information required for an investment to be made. Where shares are purchased through an agent, you should ask that agent for details of their identity, geographical address and the capacity in which they are acting.

The main service we provide to investors is the management and administration of the Company. Details of all fees and expenses associated with the provision of this service are provided in this document. There are no

additional costs levied by us for investing at a distance, but there may be other taxes or third-party costs that may apply to investors depending on their personal circumstances. The investment contract between you and us is governed by English Law and, by purchasing shares, you agree that the Courts of England have exclusive jurisdiction to settle any disputes. All communications in connection with this investment will be in English.

Any information in this document is valid only at the date of publication which is stated on the front cover. All such information can change at any time and it will not necessarily be possible for us to notify you of any such changes in advance. Please contact us for updates on any of the enclosed information.

### **UK Money Laundering Regulations**

To comply with the identification requirements of the UK Money Laundering Regulations, we may need to ask you to provide proof of your identity and address when buying or selling shares or to perform independent electronic searches of third-party databases

### **Remuneration Policy**

Details of the Remuneration Policy, including a description of how remuneration and benefits are calculated and the persons responsible for rewarding them (including the composition of the remuneration committee), are available at [omnisinvestments.com](http://omnisinvestments.com). A paper copy is available from the ACD free of charge on request.

### **How do I complain?**

To obtain a copy of the Complaints Procedure or to make a complaint concerning the operation or marketing of the Company, please write to the Complaints Officer at Omnis Investments Limited – Omnis Investments, PO Box 10191, Chelmsford, CM99 2AP.

The Complaints Procedure can also be found on the Contacts page of our website at [www.omnisinvestments.com](http://www.omnisinvestments.com). If a complaint is not resolved to your satisfaction and you subsequently wish to take the matter further you may refer it direct to The Financial Ombudsman Service at Exchange Tower, Harbour Exchange Square, London, E14 9SR.

### **Am I covered by a compensation scheme?**

You are covered by the Financial Services Compensation Scheme. You may be entitled to compensation from this scheme if we cannot meet our obligations. This depends on the type of business and circumstances of the claim. Most types of investment business are covered up to £85,000. Further information is available from the Financial Services Compensation Scheme, [www.fscs.org.uk](http://www.fscs.org.uk).

### **Personal Data**

The details you have provided will be held on computer by the Funds' Registrar but will not be used for any purpose except to fulfil its obligations to shareholders.

## **DIRECTORY**

### **The Company and Head Office**

The Company and Head Office:  
Omnis Portfolio Investments ICVC  
Washington House  
Lydiard Fields  
Swindon, SN5 8UB.

Authorised and regulated by the Financial Conduct Authority.

Administration Office:  
Omnis Investments Limited  
PO Box 10191  
Chelmsford CM99 2AP.

Telephone: 0345 140 0070.

### **Authorised Corporate Director (“ACD”)**

Omnis Investments Limited  
Washington House  
Lydiard Fields  
Swindon, SN5 8UB.

Authorised and regulated by the Financial Conduct Authority.

### **Depositary**

State Street Trustees Limited  
20 Churchill Place  
London E14 5HJ

Authorised and regulated by the Financial Conduct Authority.

### **Administrator**

DST Financial Services International Ltd.  
DST House  
St Nicholas Lane  
Basildon  
Essex SS15 5FS.

Authorised and regulated by the Financial Conduct Authority.

### **Registrar**

DST Financial Services International Ltd.  
DST House  
St Nicholas Lane  
Basildon  
Essex SS15 5FS.

### **Auditor**

Deloitte LLP  
Saltire Court  
20 Castle Terrace  
Edinburgh EH1 2DB.

### **Competent Authority**

The Company is authorised and regulated by the Financial Conduct Authority. The FCA can be contacted at 12 Endeavour Square, Stratford, E20 1JN or by calling 0800 111 6768 (freephone). Website [www.fca.org.uk](http://www.fca.org.uk).